











# FRAUD PREVENTION CHECKLIST

**The most cost-effective way to limit fraud losses is to prevent fraud from occurring in the first place.** This checklist is designed to help you test the effectiveness of your fraud prevention measures. For more information and resources, [visit FraudWeek.com](https://www.fraudweek.com).

-  Is ongoing anti-fraud training provided to all employees of your organization?
-  Is an effective fraud reporting mechanism in place?
-  Is possible fraudulent conduct aggressively sought out, rather than dealt with passively?
-  Is the tone at the top one of honesty and integrity?
-  Are fraud risk assessments performed to proactively identify the organization's vulnerabilities to fraud?
-  Are strong anti-fraud controls in place and operating effectively?
-  Does the internal audit department, if present, have adequate resources and authority to operate effectively and without undue influence from management?
-  Are employee support programs in place?
-  Is an open-door policy in place that allows employees to speak freely about pressures?
-  Are regular anonymous surveys conducted to assess employee morale?

